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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yoshida, et al.
Serial No.: 09/758,963
Filed: January 10, 2001
For: SEMICONDUCTOR LASER
APPARATUS AND A
FABRICATION METHOD OF
SAME, AND SEMICONDUCTOR
LASER MODULE
Art Group Unit: 2881
Examiner: Unknown
Attorney Docket: 6635/60093 (07742-06)

**CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8A)**

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12 NOV. 2001

Date
By: KWEZI MJUMBE
Kwezi Mjumba

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

Commissioner for Patents
Washington, D.C. 20231

Sir:

The citation listed on the enclosed PTO-1449 Form is submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted before receipt of the first Office Action for the above-identified application. Consequently, pursuant to 37 C.F.R. §1.97, no fee or certification is required. However, in the event that the first Office Action was mailed before the mailing of this Statement, the Commissioner is hereby authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to deposit account No. 13-0201 to cover this fee.

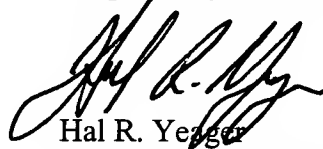
Citation C1 is in the Japanese Language. A partial translation thereof is attached. Citation C2 is in the English language, and appears to be a substantially identical re-print of

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Citation C1 in the English Language. Accordingly, the undersigned believes that Citation C2 can serve as a substantial translation of Citation C1.

This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,



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November 12, 2001
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